

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/027,439	02/20/1998	FRANKLIN H. PORTUGAL	044198.0000	3103
7590 07/08/2004			EXAMINER	
	TE,ZELANO & BRA	SITTON, JEHANNE SOUAYA		
2200 Clarendon Blvd, Suite 1400 Arlington, VA 22201 ART UNIT P.				PAPER NUMBER
······g····, ···· 22201			1634	
			DATE MAIL ED 07/00/0004	

DATE MAILED: 07/08/2004

Please find below and/or attached an Office communication concerning this application or proceeding.



UNITED STATES DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, Virginia 22313-1450

APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR I PATENT IN REEXAMINATION		ATTORNEY DOCKET NO.
			EXAMINER	
			ART UNIT	PAPER
				6

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner for Patents

Attached is a communication from the examiner and an Interview Summary (PTOL-413B).

Application/Control Number: 09/027,439 Page 2

Art Unit: 1634

Communication

The Reply Brief filed June 16, 2004 has been entered and considered. It is noted that the status of the claims reflected in the appendix of the Reply brief is incorrect because the claims in the appendix reflect a first "proposed amendment after final rejection" (submitted 11/3/2003) wherein the amendments to the claims were not entered as indicated by a first advisory action (mailed 12/24/2003). The Reply brief (page 1) also indicates that the advisory action (second advisory action mailed 4/16/2004) accompanying the examiner's answer, stated that the amendments after final will be entered and that therefore a new appendix was provided reflecting the amendments to the claims. *However*, this appendix reflects an incorrect status of the claims. The second advisory action mailed 4/16/2004 did not state that the proposed amendment filed 11/3/2003 would be entered, but rather was an advisory action for the reply filed 2/2/2004 which contained the "second proposed amendment after final rejection" (see first line of second advisory action mailed 4/16/2004). The Reply brief is further inconsistent with the Substitute brief on appeal, as the Reply brief references an issue 8 from the Substitute brief on appeal, however the Substitute brief contained no issue 8. Therefore, while the Reply brief has been entered and considered, this action should not be construed as "entering" the claims in the appendix. The appendix is not considered an amendment to the claims. The correct status of the claims on appeal are reflected in the Substitute brief filed 2/2/2004. The correct status of the issues on appeal are and the grounds of rejection are set forth in the examiner's answer mailed 4/16/2004.

Application/Control Number: 09/027,439

Art Unit: 1634

The following reflects the order of events in the instant application, after prosecution was closed:

1) 11/3/2003: applicants filed a) a first proposed amendment after final rejection, amending

claims 47, 48, and 52-58, and b) an appeal brief.

2) 12/24/2003: The proposed amendment of 11/3/2003 was not entered as indicated in a first

advisory action mailed 12/24/2003, noting that the proposed claim amendments would require

further search and consideration. The appeal brief was found defective and a Notification of

Non-Compliance with 37 CFR 1.192 (c) accompanied the first advisory action.

3) 2/2/2004: applicants filed a) a second proposed amendment after final rejection, canceling

claim 54, and b) a substitute appeal brief.

4) 4/16/2004: The 2/2/2004 proposed amendment was entered as indicated in a second advisory

action mailed 4/16/2004. The status of the claims was reflected at section 7 of the second

advisory action, which did not include claim 54 because it had been canceled. The second

advisory action referenced the reply filed 2/2/2004, and indicated that the cancellation of claim

54 had overcome a rejection under 35 USC 102(b). The second advisory action was

accompanied by an examiner's answer mailed 4/16/2004.

• The examiner's answer stated at page 1 that the answer was in reply to the Substitute

appeal brief filed 2/2/2004.

Schanue S: Hor JEHANNE SITTON PRIMARY EXAMINER 7/6/2004 Page 3

Application/Control Number: 09/027,439 Page 4

Art Unit: 1634

At page 2, section 3, of the examiner's answer affirms that the status of the claims in the
 [Substitute] brief is correct.

*The status of the claims in the Substitute brief (2/2/2004, which is correct) is not the same as the status of the claims in the Reply brief (6/16/2004).

- At page 2, section 4, the examiner's answer affirms that the appellant's statement of the status of the Amendments after Final Rejection contained in the [substitute] brief is correct (Substitute brief page 2, section IV states: "An amendment after final was submitted on November 3, 2003, but not entered. An Amendment After Final canceling claim 54 has been filed simultaneously with this Brief.").
- At page 3, section 8, the answer affirms that the copy of the appealed claims contained in the Appendix to the [Substitute] brief is correct.
 - *However, the claims in the appendix to the Substitute brief (claims from the second proposed amendment after final which were entered) does not match the claims in the appendix to the Reply brief.
- 3) On 6/16/2004, applicants filed a Reply brief. The status of the claims in the appendix of the Reply brief reflects the first proposed amendment after final rejection (11/3/2003) which was noted as <u>not entered</u> in the first advisory action and is therefore incorrect. The correct status of the claims on appeal was indicated in the examiner's answer mailed 4/16/2004, at page 2.

The application has been forwarded to the Board of Patent Appeals and Interferences for decision on the appeal.